



Compliance Assurance Quotation (all prices GST excl)

1 April 2023 mySolutions Member Price

**Casual Compliance Consulting**

\$350 per hour

**\$297.50 per hour**

**FAP Process & Policy Audit**

\$3,475 Flat Fee

**\$2,954**

Conduct advice process & FAP process audit, then help to fill gaps in either, and conduct final audit prior to FAP Full Licence

**Compliance Assurance Program**

(1-3 advisers; 1-2 business lines)

Programme: \$375 per month

**\$318.75 per month**

Programme is a 12 month commitment, payable in monthly instalments. It includes: mapping your compliance plan & calendar

for the year ahead; Online resource/library access 24/7 (including practice & sales growth resources)

with monthly email update on new resources; website compliance reviews; monthly alerts of compliance changes or tasks for you;

1 x annual remote random files monitoring audit/adviser; and; 1 x annual Compliance Assurance meeting on-site for full FAP CAP check

**Added complexity or scale pricing:**

More advisers = more audits

More business lines (e.g. lending, investments, F&G, etc) = more checking/more time

3-6 Advisers	+ \$170 per month	<b>\$144.50 per month</b>
6-10 Advisers	+ \$335 per month	<b>\$284.75 per month</b>
3 business lines	+ \$170 per month	<b>\$144.50 per month</b>
4 business lines	+ \$210 per month	<b>\$178.50 per month</b>
5 business lines	+ \$240 per month	<b>\$204.00 per month</b>

**CAP Pricing Examples**

1. Two adviser practice doing risk & lending advice	\$375.00 per month	<b>\$318.75 per month</b>
2. Four adviser practice doing risk & Kiwisaver	\$545.00 per month	<b>\$463.25 per month</b>
3. Four adviser practice doing risk, lending, kiwisaver	\$715.00 per month	<b>\$607.75 per month</b>
4. Seven Adviser practice doing 5 business lines	\$950.00 per month	<b>\$807.50 per month</b>

All Quoted Prices Are GST Exclusive

