



Compliance Assurance Pricing (all prices GST excl) **1 April 2024 mySolutions Member Price**

Casual Compliance Consulting \$375 per hour **\$318.75 per hour**

Effective 15 April 2024

FAP Process & Policy Audit \$3,700 Flat Fee **\$3,145**

Conduct advice process & FAP process audit, then help to fill gaps in either, and conduct final audit prior to FAP Full Licence

Compliance Assurance Program

(1-3 advisers; 1-2 business lines) Programme: \$395 per month **\$335.75 per month**

Programme is a 12 month commitment, payable in monthly instalments. It includes: mapping your compliance plan & calendar

for the year ahead; Online resource/library access 24/7 (including practice & sales growth resources)

with monthly email update on new resources; website compliance reviews; monthly alerts of compliance changes or tasks for you;

1 x annual remote random files monitoring audit/adviser; and; 1 x annual Compliance Assurance meeting on-site for full FAP CAP check

Added complexity or scale pricing: More advisers = more audits

More business lines (e.g. lending, investments, F&G, etc) = more checking/more time

3-6 Advisers	+ \$185 per month	\$157.25 per month
6-10 Advisers	+ \$375 per month	\$318.75 per month
3 business lines	+ \$185 per month	\$157.25 per month
4 business lines	+ \$245 per month	\$208.25 per month
5 business lines	+ \$310 per month	\$263.50 per month

CAP Pricing Examples

1. Two adviser practice doing risk & lending advice	\$395.00 per month	\$335.75 per month
2. Four adviser practice doing risk & Kiwisaver	\$580.00 per month	\$493.00 per month
3. Four adviser practice doing risk, lending, kiwisaver	\$765.00 per month	\$650.25 per month
4. Seven Adviser practice doing 5 business lines	\$1,080.00 per month	\$918.00 per month

All Quoted Prices Are GST Exclusive

