

Compliance Assurance Pricing

(all prices GST excl)

1 May 2025 mySolutions Member Price



Casual Compliance Consulting

\$395 per hour

\$335.75 per hour

Effective 15 April 2024

FAP Process & Policy Audit

\$3,900 Flat Fee

\$3,315

Conduct advice process & FAP process audit, then help to fill gaps in either, and conduct final audit prior to FAP Full Licence

Compliance Assurance Program

(1-3 advisers; 1-2 business lines)

Programme:

\$415 per month

\$352.75 per month

Programme is a 12 month commitment, payable in monthly instalments. It includes: mapping your compliance plan & calendar

for the year ahead; Online resource/library access 24/7 (including practice & sales growth resources)

with monthly email update on new resources; website compliance reviews; monthly alerts of compliance changes or tasks for you;

1 x annual remote random files monitoring audit/adviser; and; 1 x annual Compliance Assurance meeting on-site for full FAP CAP check

Added complexity or scale pricing:

More advisers = more audits

More business lines (e.g. lending, investments, F&G, etc) = more checking/more time

3-6 Advisers	+ \$205 per month	\$174.25 per month
6-10 Advisers	+ \$415 per month	\$352.75 per month
3 business lines	+ \$205 per month	\$174.25 per month
4 business lines	+ \$270 per month	\$229.50 per month
5 business lines	+ \$345 per month	\$293.25 per month

CAP Pricing Examples

1. Two adviser practice doing risk & lending advice	\$415.00 per month	\$352.75 per month
2. Four adviser practice doing risk & Kiwisaver	\$620.00 per month	\$527.00 per month
3. Four adviser practice doing risk, lending, kiwisaver	\$830.00 per month	\$705.50 per month
4. Seven Adviser practice doing 5 business lines	\$1,175.00 per month	\$998.75 per month

All Quoted Prices Are GST Exclusive

